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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GAU/1714

3-21-02

In re application of: Filippin et al.

Appln. No. 09/892,072

Filed: 06/26/2001

Docket No. 3068B/R

Group Art Unit: 1714

Examiner: Not Assigned

For: Amino Alkylphenol Emulsifiers for an Aqueous Hydrocarbon Fuel

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § 1.97(b)(3) FEB 1 5 2002

TC 1700

Commissioner of Patents Washington, DC 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the document listed on the attached Form PTO-1449, and which the Examiner may deem relevant to patentability of the claims of the above-identified application.

This application is a CIP of USSN 09/483,481, filed January 14, 2000, which is a CIP of USSN 09/390,925 filed September 7, 1999, which is a CIP of USSN 09/349,268 filed July 7, 1999, which is a CIP of USSN 09/755,577 filed January 5, 2001. The Form 1449 lists the references of record in this parent application. Copies, therefore, of these patents are not provided herewith, since these copies are in the parent application. Only newly cited references, Sheet 1 of 4 are provided herewith.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits under 37 CFR § 1.97(b)(3) (whichever is later), and therefore no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Teresan W. Gilbert

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albed

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Sheet <u>1</u> of <u>1</u>

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